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| TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | JUN 21 2007 | REPORT ON THE U.S. PATENT & TRADEMARK OFFICE OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |
|---|--------------------|---|

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been
filed in the U.S. District Court Northern District of California on the following ☒ Patents or ☐ Trademarks:

| | | |
|---|------------------------------------|--|
| DOCKET NO. CV 07-03151 WDB | DATE FILED June 14, 2007 | U.S. DISTRICT COURT Northern District of California, 1301 Clay St., RM 400S, Oakland, CA 94612 |
| PLAINTIFF RONALD A. KATZ TECHNOLOGY | | DEFENDANT ECHOSTAR COMMUNICATIONS CORP, ET.AL |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 1 19 Pats 45-7 | | See Attached Complaint |
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| 4 | | |
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In the above—entitled case, the following patent(s) have been included:

| | | | |
|----------------------------|--|-------------------------------|--|
| DATE INCLUDED | INCLUDED BY | | |
| | <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | | |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK | |
| 1 | | See Attached Complaint | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

| |
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| DECISION/JUDGEMENT |
|--------------------|

| | | |
|---------------------------------|-------------------|------|
| CLERK Richard W. Wieking | (BY) DEPUTY CLERK | DATE |
|---------------------------------|-------------------|------|

Copy 1—Upon initiation of action, mail this copy to Commissioner Copy 3—Upon termination of action, mail this copy to Commissioner
Copy 2—Upon filing document adding patent(s), mail this copy to Commissioner Copy 4—Case file copy

1 E. A judgment holding this Action an exceptional case, and an award to Plaintiff Katz
2 Technology Licensing for its attorneys' fees and costs pursuant to 35 U.S.C. § 285; and

3 F. Such other relief as the Court deems just and equitable.

4 Dated: June 14, 2007

Respectfully submitted,

By: 

Stephen C. Neal (State Bar No. 170085)

nealsc@cooley.com

Janet L. Cullum (State Bar No. 104336)

jcullum@cooley.com

Linda F. Callison (State Bar No. 167785)

lcallison@cooley.com

COOLEY GODWARD KRONISH LLP

Five Palo Alto Square

3000 El Camino Real

Palo Alto, CA 94306-2155

Telephone: (650) 843-5000

Facsimile: (650) 857-0663

Of Counsel:

Frank V. Pietrantonio

fpietrantonio@cooley.com

Jonathan G. Graves

jgraves@cooley.com

COOLEY GODWARD KRONISH LLP

One Freedom Square

11951 Freedom Drive

Reston, VA 20190-5656

Telephone: (703) 456-8000

Facsimile: (703) 456-8100

Attorneys for Plaintiff

Ronald A. Katz Technology Licensing, L.P.

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Stephen C. Neal (State Bar No. 170085)
nealse@cooley.com
 Janet L. Cullum (State Bar No. 104336)
jcullum@cooley.com
 Linda F. Callison (State Bar No. 167785)
lcallison@cooley.com
COOLEY GODWARD KRONISH LLP
 Five Palo Alto Square
 3000 El Camino Real
 Palo Alto, CA 94306-2155
 Telephone: (650) 843-5000
 Facsimile: (650) 857-0663

Of Counsel:

Frank V. Pietrantonio
fpietrantonio@cooley.com
 Jonathan G. Graves
jgraves@cooley.com
COOLEY GODWARD KRONISH LLP
 One Freedom Square
 11951 Freedom Drive
 Reston, VA 20190-5656
 Telephone: (703) 456-8000
 Facsimile: (703) 456-8100

Attorneys for Plaintiff
Ronald A. Katz Technology Licensing, L.P.

1 Stephen C. Neal (State Bar No. 170085)
nealsc@cooley.com
2 Janet L. Cullum (State Bar No. 104336)
jcullum@cooley.com
3 Linda F. Callison (State Bar No. 167785)
lcallison@cooley.com
4 COOLEY GODWARD KRONISH LLP
Five Palo Alto Square
5 3000 El Camino Real
Palo Alto, CA 94306-2155
6 Telephone: (650) 843-5000
Facsimile: (650) 857-0663
7 Attorneys for Plaintiff
Ronald A. Katz Technology Licensing, L.P.

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07 JUN 14 PM 3:11
RICHARD H. WIEKING
U.S. DISTRICT COURT
NO. DISTRICT COURT

8
9 IN THE UNITED STATES DISTRICT COURT
10 FOR THE NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

11 RONALD A. KATZ TECHNOLOGY
12 LICENSING, L.P.,

13 Plaintiff,

14 v.

15 ECHOSTAR COMMUNICATIONS CORP.
and
16 ECHOSTAR SATELLITE L.L.C.

17 Defendants.
18

C07 03151
CASE NO.

WDB

Jury Trial Demanded

19 **PLAINTIFF RONALD A. KATZ TECHNOLOGY LICENSING, L.P.'S**
20 **COMPLAINT FOR PATENT INFRINGEMENT AND DEMAND FOR JURY TRIAL**

21 Plaintiff, Ronald A. Katz Technology Licensing, L.P. ("Katz Technology Licensing"), by
22 counsel, alleges as follows:

23 **THE PARTIES**

24 I. Plaintiff Katz Technology Licensing is a limited partnership organized under the
25 laws of the State of California, and having a principal place of business at 9220 Sunset Blvd.
26 #315, Los Angeles, CA 90069.
27
28

BACKGROUND FACTS

8. Ronald A. Katz ("Mr. Katz"), founder of Katz Technology Licensing, is a named inventor of each of the patents in suit. Mr. Katz has been widely recognized as one of the most prolific and successful inventors of our time, and his inventions over the last forty-plus years have been utilized by literally millions of people.

9. In 1961, Mr. Katz co-founded Telecredit Inc. ("Telecredit"), the first company to provide online, real-time credit authorization, allowing merchants to verify checks over the telephone. Further innovations from Telecredit include the first online, real-time, point-of-sale credit verification terminal, which enabled merchants to verify checks without requiring the assistance of a live operator, and the first device that used and updated magnetically-encoded cards in automated teller machines. Multiple patents issued from these innovations, including patents co-invented by Mr. Katz.

10. Telecredit was eventually acquired by Equifax, and has now been spun off as Certegy, a public company traded on the New York Stock Exchange. Certegy continues to provide services in the credit and check verification field established by Mr. Katz and Telecredit.

11. Mr. Katz's inventions have not been limited to telephonic check verification. Indeed, Mr. Katz is responsible for advancements in many fields of technology. Among his most prominent and well-known innovations are those in the field of interactive call processing. Mr. Katz's inventions in that field are directed to the integration of telephonic systems with computer databases and live operator call centers to provide interactive call processing services.

12. The first of Mr. Katz's interactive call processing patents issued on December 20, 1988. More than fifty U.S. patents have issued to Mr. Katz for his inventions in the interactive call processing field, including each of the patents-in-suit.

13. In 1988, Mr. Katz partnered with American Express to establish FDR Interactive Technologies, later renamed Call Interactive, to provide interactive call processing services based on Mr. Katz's inventions. The American Express business unit involved in this joint venture later became known as First Data.

1 14. Early clients of Call Interactive included *The New York Times*, ABC's *Monday*
2 *Night Football*, KABC Radio, CBS News, and Beatrice Foods (Hunt-Wesson division).

3 15. Many of these clients utilized Call Interactive technology for high-profile events.
4 For example, CBS News hired Call Interactive to operate an interactive, real-time telephone poll
5 to gauge viewer reaction to President George H.W. Bush's 1992 State of the Union address.

6 16. Mr. Katz sold his interest in Call Interactive to American Express in 1989 but
7 continued to provide advisory services to Call Interactive until 1992. American Express later
8 spun off the First Data business unit into a separate corporation, and with that new entity went
9 Mr. Katz's interactive call processing patents and the Call Interactive call processing business.
10 The former Call Interactive, now known as First Data Voice Services, continues to provide call
11 processing solutions today.

12 17. In 1994, Mr. Katz formed Katz Technology Licensing, which acquired the rights
13 to the entire interactive call processing patent portfolio, including the rights to each of the patents-
14 in-suit, from First Data, the owner of all of the Katz interactive call processing patents at that
15 time.

16 18. The marketplace has clearly recognized the value of Mr. Katz's inventions.
17 Indeed, over 150 companies have licensed the patents-in-suit. Licensees include IBM, Hewlett-
18 Packard, Bank of America, JPMorgan Chase, Wells Fargo, HSBC, Verizon, Sprint, Microsoft,
19 Delta Airlines, Merck, Sears, and Home Shopping Network. These licenses and others
20 acknowledge the applicability of the patents-in-suit to multiple fields of use, including but not
21 limited to financial services call processing, automated securities transactions, automated credit
22 card authorization services, automated wireless telecommunication services and support,
23 automated health care services, and product and service support.

24 19. Each of the defendants employs the inventions of certain of the patents-in-suit.
25 Katz Technology Licensing, through its licensing arm A2D, L.P., has repeatedly attempted to
26 engage each defendant in licensing negotiations, but to date, none of the defendants have agreed
27 to take a license to any of the patents-in-suit.

THE PATENTS-IN-SUIT

20. On December 20, 1988, the United States Patent and Trademark Office duly and legally issued United States Patent No. 4,792,968 ("the '968 Patent"), entitled "Statistical Analysis System For Use With Public Communication Facility," to Ronald A. Katz, sole inventor. The '968 Patent expired on December 20, 2005.

21. On May 29, 1990, the United States Patent and Trademark Office duly and legally issued United States Patent No. 4,930,150 ("the '150 Patent"), entitled "Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '150 Patent expired on December 20, 2005.

22. On April 28, 1992, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,109,404 ("the '404 Patent"), entitled "Telephone Call Processor with Select Call Routing," to Ronald A. Katz and Thomas D. Thompson, co-inventors. The '404 Patent expired on December 20, 2005.

23. On July 7, 1992, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,128,984 ("the '984 Patent"), entitled "Telephone Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

24. On September 27, 1994, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,351,285 ("the '285 Patent"), entitled "Multiple Format Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '285 Patent expired on December 20, 2005.

25. On October 1, 1996, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,561,707 ("the '707 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '707 Patent expired on December 20, 2005.

26. On November 4, 1997, the United States Patent and Trademark Office duly and legally issued United States Patent No. 5,684,863 ("the '863 Patent"), entitled "Telephonic-Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '863 Patent expired on December 20, 2005.

1 27. On September 29, 1998, the United States Patent and Trademark Office duly and
2 legally issued United States Patent No. 5,815,551 ("the '551 Patent"), entitled "Telephonic-
3 Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '551 Patent expired
4 on December 20, 2005.

5 28. On October 27, 1998, the United States Patent and Trademark Office duly and
6 legally issued United States Patent No. 5,828,734 ("the '734 Patent"), entitled "Telephone
7 Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

8 29. On April 27, 1999, the United States Patent and Trademark Office duly and legally
9 issued United States Patent No. 5,898,762 ("the '762 Patent"), entitled "Telephonic-Interface
10 Statistical Analysis System," to Ronald A. Katz, sole inventor. The '762 Patent expired on
11 December 20, 2005.

12 30. On June 29, 1999, the United States Patent and Trademark Office duly and legally
13 issued United States Patent No. 5,917,893 ("the '893 Patent"), entitled "Multiple Format
14 Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '893 Patent expired
15 on December 20, 2005.

16 31. On October 26, 1999, the United States Patent and Trademark Office duly and
17 legally issued United States Patent No. 5,974,120 ("the '120 Patent"), entitled "Telephone
18 Interface Call Processing System With Call Selectivity," to Ronald A. Katz, sole inventor.

19 32. On November 14, 2000, the United States Patent and Trademark Office duly and
20 legally issued United States Patent No. 6,148,065 ("the '065 Patent"), entitled "Telephonic-
21 Interface Statistical Analysis System," to Ronald A. Katz, sole inventor. The '065 Patent expired
22 on July 10, 2005.

23 33. On January 1, 2002, the United States Patent and Trademark Office duly and
24 legally issued United States Patent No. 6,335,965 ("the '965 Patent"), entitled "Voice-Data
25 Telephonic Interface Control System," to Ronald A. Katz, sole inventor. The '965 Patent expired
26 on December 20, 2005.

27 34. On February 19, 2002, the United States Patent and Trademark Office duly and
28 legally issued United States Patent No. 6,349,134 ("the '134 Patent"), entitled "Telephonic-

Interface Statistical Analysis System,” to Ronald A. Katz, sole inventor. The ‘134 Patent expired on December 20, 2005.

35. On July 23, 2002, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,424,703 (“the ‘703 Patent”), entitled “Telephone-Interface Lottery System,” to Ronald A. Katz, sole inventor. The ‘703 Patent expired on July 10, 2005.

36. On August 13, 2002, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,434,223 (“the ‘223 Patent”), entitled “Telephone Interface Call Processing System With Call Selectivity,” to Ronald A. Katz, sole inventor. The ‘223 Patent expired on July 10, 2005.

37. On January 28, 2003, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,512,415 (“the ‘415 Patent”), entitled “Telephonic-Interface Game Control System,” to Ronald A. Katz, sole inventor. The ‘415 Patent expired on July 10, 2005.

38. On January 13, 2004, the United States Patent and Trademark Office duly and legally issued United States Patent No. 6,678,360 (“the ‘360 Patent”), entitled “Telephonic-Interface Statistical Analysis System,” to Ronald A. Katz, sole inventor. The ‘360 Patent expired on July 10, 2005.

COUNT I

(PATENT INFRINGEMENT)

39. Katz Technology Licensing realleges and incorporates by reference paragraphs 1-38 of this Complaint as if fully set forth herein.

40. Katz Technology Licensing is the sole holder of the entire right, title, and interest in the ‘968, ‘150, ‘404, ‘984, ‘285, ‘707, ‘863, ‘551, ‘734, ‘762, ‘893, ‘120, ‘065, ‘965, ‘134, ‘703, ‘223, ‘415 and ‘360 Patents.

41. Upon information and belief, the EchoStar defendants operate automated telephone systems, including without limitation the DISH Network customer service telephone system, that allow their customers to perform pay-per-view ordering and customer service functions over the telephone.

